

**PATENT** 

Case Docket No. GNE.2930R1C10

Date: April 9, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 2 4 2003

Applicant(s)	:	Botstein, et al.	I hereby certify that this correspondence and all 1600/2900 marked attachments are being deposited with the
Appl. No.	:	10/033,167	United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327,
Filed	:	December 27, 2001	Arlington, VA 22202, on
For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	) April 9, 2003 (Date) ) Sam K. Tahmassebi, Reg. No. 45,151
Examiner	:	Unknown	
Group Art Uni	t:	1656	

#### TRANSMITTAL LETTER

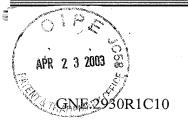
United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed for filing in the above-identified application are:

- A Supplemental Information Disclosure Statement. (X)
- A PTO Form 1449 with fourteen (14) references. (X)
- The Commissioner is hereby authorized to charge any additional fees which may be required, or (X) credit any overpayment, to Account No. 07-0630.
- (X) Return prepaid postcard.

Sam K. Tahmassebi Registration No. 45,151 Attorney of Record Customer No. 30,313 (619) 235-8550



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Botstein, et al.	)	Group Art Unit 1656
App. No.	:	10/033,167	)	RECEIVED
Filed	:	December 27, 2001	)	APR 2 4 2003
For	:	SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME	)	TECH CENTER 1600/2900
Examiner	:	Unknown	)	

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

### Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

Appl. No.

: 10/033,167

Filed

December 27, 2001

# CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/9/03

Ву:

Sam K. Tahmassebi Registration No. 45,151 Attorney of Record Customer No. 30,313 (619) 235-8550

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2 3 2003 PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE					APPLICATION NO. 10/033,167	20
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			, <del>.</del>	U.S. PATENT DOCUMENTS		- 100g
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,831,058	11/03/98	Fujiwara et al.		
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FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL							YES	NO
•	2	WO 98 45437 🗸	10/15/98	PCT				
	3	WO 00/04140 🛩	01/27/00	PCT				
	4	WO 00 58472	10/05/00	PCT				
	5	WO 01/29221 🗸	04/26/01	PCT				
	6	WO 01 02568 🖟	01/11/01	PCT				

EXAMINER INITIAL		OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	7	Database EMBL 'Online! Entry HSA24389, 08/14/96, Hillier, L. et al., "ze74b01.r1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA clone IMAGE: 364681 5', mRNA sequence." Database accession no. AA024389 XP002230500
	8	Database EMBL 'Online! Entry HSA25266, 08/14/96, Hillier, L. et al. "ze74b01.s1 Soares_fetal_heart_NbHH19W Homo sapiens cDNA cline IMAGE:364681 3', mRNA sequence." Database accession no. AA025266 XP002230501
	9	Database EMBL [Online] md78c02.r1 Soares mouse embryo NbME1cDNA clone (06/22/96) Marra et al., "The WashU-HHMI Mouse EST Protect" Database accession no. MM96732 XP002232923
	10	Database EBI 'Online! (08/19/98) Strausberg R. "qa12e07.x1 NCI_CGAP_Brn23 Homo sapiens cDNA clone Image:1686564 3' similar to contains TAR1.b2 MSR1 repetitive element;, mRNA sequence." Database accession no. Al088845 XP002226252
	11	Database EMBL [Online] (01/11/99) Hillier, L. et al. "ao87d07.x1 Schiller meningioma Homo sapiens cDNA clone IMAGE:1952845 3' similar to contains element TAR1 repetitive element;, mRNA sequence." Database accession no. Al366107 XP002230801
	12	Database EMBL [Online] (01/13/99) Strausberg R. "qz48e01.x1 NCI-CGAP-Kid11 Homo sapiens cDNA clone IMAGE:2030136 3', mRNA sequence." Database accession no. Al373244 XP002230802
	13	Klein, et al. Selection for genes encoding secreted proteins and receptors, Proc. Natl. Acad. Sci. USA 93:7108-7113 (1996)
	14	Tashiro, et al., Signal sequence trap: a cloning strategy for secreted proteins and type 1 membrane proteins, Science 261:600-603 (1993)

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**EXAMINER** 

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.